INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 4

	Complete if Known			
Application Number	10/711,736			
Filing Date	September 30, 2004			
First Named Inventor	Eric Brueggemann			
Art Unit	2195			
Examiner Name	Anya, Charles E.			
Attorney Docket Number	2006579-0242 (CTX-107)			

	U.S. PATENT DOCUMENTS				
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	A93*	US-20010011254	08-02-2001	CLARK	
	A94*	US-20010014892	08-16-2001	Gaither et al.	
	A95*	US-20010047406	11-29-2001	Araujo et al.	
	A96*	US-20020019972	02-14-2002	Grier et al.	
	A97*	US-20020059425	05-16-2002	Belfiore et al.	
	A98*	US-20020065919	05-30-2002	Taylor et al.	
	A99*	US-20020078168	06-20-2002	Christfort et al.	
		US-20020095479	07-18-2002	Schmidt	
	A101*	US-20020193985	12-19-2002	Park	
	A102*	US-20020194010	12-19-2002	Bergler et al.	
		US-20020199180	12-26-2002	Donaldson et al.	
	A104*	US-20030069923-A	04-10-2003	Peart	
	A105*	US-20030069924-A	04-10-2003	Peart et al.	
	A106*	US-20030088866	05-08-2003	Boldon et al.	
		US-20030149685	08-07-2003	Trossman et al.	
	A108*	US-20030167463	09-04-2003	Munsil et al.	
	A109*	US-20030233544	12-18-2003	Erlingsson	
	A110*	US-20040078591	04-22-2004	Teixeira et al.	
	A111*	US-20040236777	11-25-2004	Pardikar et al.	
	A112*	US-20040255048	12-16-2004	Lev Ran et al.	
	A113*	US-20050010924	01-13-2005	Hipp et al.	
	A114*	US-20050010927	01-13-2005	Stern et al.	
	A115*	US-20050039190	02-17-2005	Rees et al.	
	A116*	US-20050080906-A	04-14-2005	Pedersen	
	A117*	US-20050091655	04-28-2005	Probert et al.	
	A118*	US-20050114296	05-26-2005	Farber et al.	
	A119*	US-20050192921	09-01-2005	Chaudhuri et al.	
	A120*	US-20050262181	11-24-2005	Schmidt et al.	
	A121*	US-20060010433	01-12-2006	Neil	
	A122*	US-20060026602	02-02-2006	Duplichan	
	A123*	US-20060037004	02-16-2006	Long et al.	
	A124*	US-20060064697	03-23-2006	Kagi et al.	
	A125*	US-20060136389	06-22-2006	Cover et al.	
	A126*	US-20060257266	11-16-2006	LeDoux et al.	
	A127*	US-20070011672	01-11-2007	Bhide et al.	
	A128*	US-20070067366	03-22-2007	Landis	
	A129*	US-20080016233	01-17-2008	Schneider	
		US-20080222160	09-11-2008	MacDonald et al.	
	A131*	US-5,067,072	11-19-1991	Talati et al.	
	A132*	US-5,384,911	01-24-1995	Bloomfield	
		US-5,430,878	07-04-1995	Straub et al.	
	A134*	US-5,515,495	05-07-1996	Ikemoto	
		US-5,632,002	05-20-1997	Hashimoto et al.	
		US-5,668,958	09-16-1997	Bendert et al.	
		US-5,765,192	06-09-1998	Berliner	
	A138*	US-5,781,720	07-14-1998	Parker et al.	

4701165v	Date	
1	Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	4
-------	---	----	---

Complete if Known			
Application Number	10/711,736		
Filing Date	September 30, 2004		
First Named Inventor	Eric Brueggemann		
Art Unit	2195		
Examiner Name	Anya, Charles E.		
Attorney Docket Number	2006579-0242 (CTX-107)		

A139* US-	-5,784,057	07-21-1998	Alimpich et al.	
	-5,819,034	10-06-1998	Joseph et al.	
	-5,819,044		Kawabe et al.	
	-5,856,826	01-05-1999	Craycroft	
			Cummings	
			Brooks et al.	
	-6,144,377	11-07-2000	Oppermann et al.	
	-6,161,126	12-12-2000	Wies et al.	
	-6,161,139	12-12-2000	Win et al.	
	-6,199,753-A	03-13-2001	Tracy et al.	
	-6,272,556	08-07-2001	Gish	
	-6,272,632	08-07-2001	Carman et al.	
A151* US-	-6,275,942	08-14-2001	Bernhard et al.	
	-6,304,893	10-16-2001	Gish	
A153* US-	-6,321,219	11-20-2001	Gainer et al.	
		01-15-2002	Rekhter et al.	
		04-09-2002	Shimura	
			Doran, Jr. et al.	
A157* US-	-6,385,768-A	05-07-2002	Ziebell	
A158* US-	-6,438,600-A	08-20-2002	Greenfield et al.	
A159* US-	-6,510,466	01-21-2003	Cox et al.	
A160* US-	-6,516,315	02-04-2003	Gupta	
A161* US-	-6,519,643	02-11-2003	Foulkes et al.	
A162* US-	-6,526,056	02-25-2003	Rekhter et al.	
A163* US-	-6,550,012	04-15-2003	Villa et al.	
A164* US-	-6,553,377	04-22-2003	Eschelbeck et al.	
	-6,597,366	07-22-2003	Bennett et al.	
A166* US-	-6,606,663	08-12-2003	Liao et al.	
A167* US-	-6,691,232-A	02-10-2004	Wood et al.	
A168* US-	-6,714,979		Brandt et al.	
	, ,		Baisley	
			Belani et al.	
	-6,826,624		Fell, Jr.	
	-6,880,002		Hirschfeld et al.	
	-6,889,222		Zhao	
	-6,928,464		Appiah et al.	
	-6,952,714		Peart	
	-6,966,060		Young et al.	
	-7,065,637		Nanja	
	-7,085,755		Bluhm et al.	
	-7,092,987		Brittingham et al.	
	-7,103,625		Hipp et al.	
	-7,117,243	10-03-2006	Peart	
		04-03-2007	Greschler et al.	
	-7,213,153		Hollander et al.	
			Wilner et al.	
	-7,293,267		Fresko	
	-7,305,658		Hamilton et al.	
			Peart et al.	
A188* US-	-7,380,039	05-27-2008	Miloushev et al.	

4701165v	Date	
1	Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	3	of	4

	Complete if Known			
Application Number	10/711,736			
Filing Date	September 30, 2004			
First Named Inventor	Eric Brueggemann			
Art Unit	2195			
Examiner Name	Anya, Charles E.			
Attorney Docket Number	2006579-0242 (CTX-107)			

A18	9* US-7,383,328	06-03-2008	lyoki	
A19	0* US-7,409,405	08-05-2008	Masinter et al.	
A19	1* US-7,461,144	12-02-2008	Beloussov et al. Professor	
A19	2* US-7,484,245	01-27-2009	Friedman et al.	
A19	3* US-7,526,774	04-28-2009	Beck et al.	

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY		Where Relevant Passages Or Relevant Figures Appear	
	B88	AU-02309834-A2	11-25-2002	Softricity Inc		
	B89	WO-9960462-A1	11-25-1999	NETWORK ASSOCIATES		П
				INC		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicants unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at ***** OWNEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C31	Boyd et al., "Preemptive module replacement using the virtualizing operating system realizing multi-dimensional software adaptation," Proceedings of the ACM Workshop on Self-Healing, Adapative and self-MANaged Systems (SHAMAN), in conjunction with the 16th Annual ACM International Conference on Supercomputing, (New York, NY), June 2002.	
	C32	Boyd et al., "Virtualizing Operating Systems for Seamless Distributed Environments," in Proceedings of the IASTED International Conference on Parallel and Distributed Computing and Systems, vol. 2, November 2000, pp. 735-740.	
	C33	Chinese Office Action, dated May 16, 2008, in Chinese Patent Application No.: 200580041055.6.	
	C34	Chinese Office Action, dated May 23, 2008, in Chinese Patent Application No.: 200580041022.1.	
	C35	Citrix XenApp Application Streaming; http://www.citrix.com/English/ps2/products/subfeature.asp?contentID=163987; printed November 17, 2008.	
	C36	Czajkowski et al., "A Multi-User Virtual Machine", Proc. of the USENIX 2003 Annual Technical Conference, 2003, pages 85-98.	
	C37	EP Search Report, dated Janurary 8, 2008 in Application No.: 05800952.3-2211.	
	C38	EP Search Report, dated July 20, 2007 in Application No.: 05800952.3-2211.	
	C39	EP Search Report, dated May 23, 2008 in Application No.: 07112164.4-2211.	
	C40	EP Search Report, dated May 6, 2008 in Application No.: 07112979.5-2211.	
	C41	EP Search Report, dated May 8, 2008, in Application No.: 07112596.7-2211.	
	C42	International Search Report, PCT/US2005/033994, mailed on February 15, 2006.	
	C43	International Search Report, PCT/US2005/034177, mailed on February 21, 2006.	
	C44	International Search Report, PCT/US2005/034302, mailed on May 8, 2006.	_
	C45	International Search Report, PCT/US2005/034449, mailed on March 3, 2006.	

4701165v	Date	
1	Considered	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	4	of	4

Complete if Known				
Application Number	10/711,736			
Filing Date	September 30, 2004			
First Named Inventor	Eric Brueggemann			
Art Unit	2195			
Examiner Name	Anya, Charles E.			
Attorney Docket Number	2006579-0242 (CTX-107)			

C46	International Searching Authority, "International Search Report," PCT Application No. PCT/US2005/034178, mailed on February 1, 2006, 5 pgs.	
C47	Potter et al., "Secure Isolation and Migration of Untrusted Legacy Applications" Computer Science Department, Columbia University, Columbia Technical Report CUCS-005-04, January 2004.	
C48	U.S. Office Action, dated April 1, 2009, in U.S. Application No.: 10/711,733.	
C49	U.S. Office Action, dated April 1, 2009, in U.S. Application No.: 10/711,732.	
C50	U.S. Office Action, dated April 12, 2010, in U.S. Application No.: 11/231,317.	
C51	U.S. Office Action, dated April 16, 2010, in U.S. Application No.: 11/231,316.	
C52	U.S. Office Action, dated April 24, 2009, in U.S. Application No.: 10/956,723.	
C53	U.S. Office Action, dated April 7, 2009, in U.S. Application No.: 11/231,315.	
C54	U.S. Office Action, dated August 12, 2008, in U.S. Application No.: 10/711,734.	
C55	U.S. Office Action, dated August 17, 2009, in U.S. Application No.: 10/711,734.	
C56	U.S. Office Action, dated August 31, 2009, in U.S. Application No.: 10/956,723.	
C57	U.S. Office Action, dated December 14, 2009, in U.S. Application No.: 11/231,284.	Ξ
C58	U.S. Office Action, dated December 17, 2009, in U.S. Application No.: 11/231,316.	
C59	U.S. Office Action, dated December 19, 2008, in U.S. Application No.: 10/711,735.	
C60	U.S. Office Action, dated December 23, 2008 in U.S. Application No.: 10/711,737.	
C61	U.S. Office Action, dated February 26, 2009, in U.S. Application No.: 10/711,734.	
C62	U.S. Office Action, dated July 21, 2009, in U.S. Application No.: 10/711,735.	
C63	U.S. Office Action, dated July 22, 2009, in U.S. Application No.: 10/711,737.	
C64	U.S. Office Action, dated June 10, 2010, in U.S. Application No.: 11/231,284.	
C65	U.S. Office Action, dated March 10, 2010, in U.S. Application No.: 10/956,723.	
C66	U.S. Office Action, dated May 14, 2010, in U.S. Application No.: 10/711,733.	П
C67	U.S. Office Action, dated May 28, 2008, in U.S. Application No.: 10/711,737.	
C68	U.S. Office Action, dated November 12, 2008, in U.S. Application No.: 10/956,723.	
C69	U.S. Office Action, dated November 12, 2009, in U.S. Application No.: 10/711,733.	
C70	U.S. Office Action, dated November 14, 2007, in U.S. Application No.: 10/711,734.	
C71	U.S. Office Action, dated October 6, 2009, in U.S. Application No.: 11/231,370.	
C72	Written Opinion of International Searching Authority, PCT/US2005/034178, mailed on January 2, 2006.	
C73	Written Opinion of International Searching Authority, PCT/US2005/034302, mailed on May 8, 2006.	
C74	Written Opinion of International Searching Authority, PCT/US2005/034177, mailed on February 21, 2006.	
C75	Written Opinion of the International Searching Authority, PCT/US2005/034449, mailed on March 3, 2006.	
C76	Written Opinion of the International Searching Authority, PCT/US2005/033994, mailed on February 15, 2006.	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

4701165v	Date	
1	Considered	

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.